

# Refinitiv Benchmarks Whistleblowing Statement

Refinitiv and Refinitiv Benchmark Services Limited (RBSL), a division of Refinitiv, administer specific 'benchmarks' published to facilitate business and investments throughout the world and specifically within the financial services industry.

The integrity of benchmark data is of supreme importance to multiple stakeholders and more widely the integrity of the financial services industry. The intent of this statement is to encourage any person on any anonymous basis to alert the Refinitiv Group of conduct that may involve manipulation, or attempted manipulation, of the benchmark(s) RBSL or Refinitiv administers, calculates, and/or publishes.

In order to ensure the integrity of published benchmarks are accurate and generated from reliable, correct data, Refinitiv applies controls, including monitoring, training, and where necessary, investigations.

Importantly, Refinitiv has implemented a whistleblowing process to encourage and facilitate the reporting of any concerns in regards to the integrity of a benchmark and the process for submitting data, administering, calculating and publishing a benchmark. This applies both internally to RBSL and Refinitiv employees, as well as persons outside of Refinitiv who have any concerns or suspicions about the accuracy of data or the behavior of any person/party connected to the publication and use of benchmark data.

The directors of RBSL and the directors of Refinitiv fully support whistleblowing and are committed to protecting whistleblowers, the important information they provide and more widely, the integrity of the whistleblowing processes within RBSL and Refinitiv. Consequently all persons who are considering reporting their concerns in good faith can be assured that your concerns will be taken seriously, your identity will be protected and if you are a Refinitiv employee you will be protected from detrimental treatment, retaliation or employment harassment.

The commitment Refinitiv has made to this important area of corporate governance includes training and the recruitment of persons who have previously blown the whistle. Hence RBSL and Refinitiv do not fear whistleblowing; on the contrary it is seen as a key control within the corporate governance of business and is designed to protect all stakeholders, including our clients and the communities the benchmark data serves.

## **Guide to Reporting**

There is no prescribed format for filing a whistleblowing report, this way those seeking to report are in no way restricted by difficulties in locating prescribed documents.

Rather, Refinitiv encourages those to engage with and report any suspicious activity using the following medium: [whistleblowing.benchmarks@refinitiv.com](mailto:whistleblowing.benchmarks@refinitiv.com)

Please include as much detail in your notification as practicable, including the nature of the suspicion or actual evidence relating to the manipulation of a benchmark. Your privacy and protection is of the utmost importance to Refinitiv.

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The Financial and  
Risk business of  
Thomson Reuters  
is now Refinitiv.

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